12117 FM 2244
Building III, Suite 280
Austin, TX 78738
855-373-2900
www.Northviewam.com

Form ADV Part 2B Brochure Supplement March 26, 2019

Samuel R. Granett Chief Executive Officer & Chief Investment Officer

This Brochure Supplement provides information about Samuel R. Granett (CRD# 1576291) that supplements the Northview Asset Management, LLC Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at 855-373-2900 or rwilliams@northviewam.com if you did not receive Northview's Brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Mr. Granett, born in 1946, graduated from the United States Military Academy at West Point in 1969 with a B.S. in Civil Engineering and in 1977 from the Harvard Business School with an Executive MBA. Mr. Granett's employment history is listed below.

Northview Asset Management, LLC	12/2008 to Present
World Equity Group, Inc., Registered Representative	03/2014 to Present
International Assets Advisory, Registered Representative	04/2012 to 03/2014
Woodstock Financial Group, Inc., Registered Representative	08/2010 to 04/2012
Crescent Securities, Registered Representative	08/2010 to 08/2010
APS Financial Corporation, President	09/1986 to 08/2010

There are no legal, civil or disciplinary events to disclose regarding Samuel R. Granett in the past 10 years. Mr. Granett was involved in an arbitration matter as a general principal for a brokerage firm in 1995. Please refer to Item 7 in this Brochure Supplement for an explanation of this event.

However, we do encourage you to independently view the background of Mr. Granett on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 1576291 in the field labeled "Individual CRD Number".

Item 4 - Other Business Activities

Mr. Granett is a registered representative of World Equity Group, Inc. ("WEG"). WEG is a registered broker-dealer, member FINRA, SIPC (CRD No. 29087). In order to minimize any potential conflict of interest, we will not receive, directly or indirectly, any compensation for securities transactions in any client account executed through WEG, other than the advisory fees noted above in Item 5 Fees and Compensation in Form ADV Part 2A.

Mr. Granett also owns 33% of International Fixed Income, LLC which is an Austin, Texas based Office of Supervisory Jurisdiction ("OSJ") of World Equity Group.

Item 5 - Additional Compensation

Mr. Granett does not have any additional compensation.

Item 6 – Supervision

Northview has a continuing responsibility to adhere to the Advisors Act, including the supervision of, and the responsibility for, portfolio managers and anyone acting on behalf of Northview to read, understand and attest to comply with the policies and procedures located within Northview's Compliance Policies and Procedures Manual.

Mr. Granett is supervised by the Northview Asset Management, LLC Board of Directors.

Item 7 – Requirements for State Registered Advisers

Mr. Granett was involved in a complaint as a general principal for a brokerage firm in 1995.

Arbitration Information

Arbitration Case No.: National Association of Securities Dealers; 95-01539

Date Notice Processed: 05/08/1995

Disposition Date: 6/24/1996

<u>Allegation:</u> - Claimants, who never dealt with Mr. Granett, alleged that APS (Mr. Granett's employer) fraudulently or negligently represented certain bonds. Claimant sought recovery of approximately \$1.6 million in alleged

losses. While Mr. Granett was named as a respondent in the proceedings, the statement of claim nowhere named him specifically, nor did it identify any misconduct allegedly committed by him.

Alleged Damages: - \$1,606,211.00

<u>Disposition:</u> - \$32,802.28 awarded to customer

Mr. Granett's Contribution Amount: - \$0

Since 1995, there are no legal, civil or disciplinary events to disclose regarding Mr. Granett.

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Form ADV Part 2B Brochure Supplement March 26, 2019

Richard B. Williams, AIFA® President & Chief Compliance Officer

This Brochure Supplement provides information about Richard B. Williams (CRD# 2703540) that supplements the Northview Asset Management, LLC Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at 855-373-2900 or rwilliams@northviewam.com if you did not receive Northview's Brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Mr. Williams, born in 1966, graduated from Southern Methodist University in 1989 with a B.B.A. in Accounting and Finance and in 2001 from St. Edward's University with an MBA in Finance. Mr. Williams' employment history is listed below.

Northview Asset Management, LLC
Sage Advisory Services Ltd., Co., Principal, Managing Director
Tejas Securities Group, Inc., Financial Operations Principal

01/2014 to Present 10/1998 to 06/2013 10/1995 to 10/1998

Mr. Williams does not have any disciplinary information to report.

However, we do encourage you to independently view the background of Mr. Williams on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 2703540 in the field labeled "Individual CRD Number".

Item 4 - Other Business Activities

Mr. Williams does not have any other business activities.

Item 5 - Additional Compensation

Mr. Williams does not have any additional compensation.

Item 6 – Supervision

Northview has a continuing responsibility to adhere to the Advisors Act, including the supervision of, and the responsibility for, portfolio managers and anyone acting on behalf of Northview to read, understand and attest to comply with the policies and procedures located within Northview's Compliance Policies and Procedures Manual.

Mr. Williams is supervised by the Northview Asset Management, LLC Board of Directors.

Item 7 – Requirements for State Registered Advisers

Mr. Williams does not have any additional information to disclose.

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Form ADV Part 2B Brochure Supplement March 26, 2019

Michael D. Janak Managing Director, Marketing

This Brochure Supplement provides information about Michael D. Janak (CRD# 2570868) that supplements the Northview Asset Management, LLC Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at 855-373-2900 or rwilliams@northviewam.com if you did not receive Northview's Brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Mr. Janak, born in 1965, graduated from Texas A&M University in 1987 with a B.S. in Chemical Engineering. Mr. Janak's employment is listed below.

Northview Asset Management, LLC	03/2014 to Present
World Equity Group, Inc., Registered Representative	03/2014 to Present
International Assets Advisory, LLC, Registered Representative	04/2012 to 02/2014
Woodstock Financial Group Inc., Registered Representative	08/2010 to 03/2012
APS Financial Corporation, Registered Representative	08/1998 to 07/2010

Mr. Janak does not have any disciplinary information to report.

However, we do encourage you to independently view the background of Mr. Janak on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 2570868 in the field labeled "Individual CRD Number".

Item 4 - Other Business Activities

Mr. Janak is a registered representative of World Equity Group, Inc. ("WEG"). WEG is a registered broker-dealer, member FINRA, SIPC (CRD No. 29087). In order to minimize any potential conflict of interest, we will not receive, directly or indirectly, any compensation for securities transactions in any client account executed through WEG, other than the advisory fees noted above in Item 5 Fees and Compensation in Form ADV Part 2A.

Item 5 - Additional Compensation

Mr. Janak does not have any additional compensation.

Item 6 - Supervision

Northview has a continuing responsibility to adhere to the Advisors Act, including the supervision of, and the responsibility for, portfolio managers and anyone acting on behalf of Northview to read, understand and attest to comply with the policies and procedures located within Northview's Compliance Policies and Procedures Manual.

Mr. Janak is supervised by Mr. Richard B. Williams. Mr. Williams can be reached at 512-381-6901.

Item 7 - Requirements for State Registered Advisers

Mr. Janak does not have any additional information to disclose.

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Form ADV Part 2B Brochure Supplement March 26, 2019

Steven P. Sutton Managing Director, Trading

This Brochure Supplement provides information about Steven Sutton (CRD# 2209120) that supplements the Northview Asset Management, LLC Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at 855-373-2900 or rwilliams@northviewam.com if you did not receive Northview's Brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Mr. Sutton, born in 1961, attended Northern Michigan University and the University of Houston. Mr. Sutton's employment history is listed below.

Northview Asset Management, LLC	03/2014 to Present
World Equity Group, Inc., Registered Representative	03/2014 to Present
International Assets Advisory, LLC, Registered Representative	04/2012 to 03/2014
Woodstock Financial Group, Inc., Registered Representative	09/2010 to 04/2012
Crescent Securities, Registered Representative	08/2010 to 09/2010
APS Financial Corporation, Registered Representative	03/1997 to 08/2010

Mr. Sutton does not have any disciplinary information to report.

However, we do encourage you to independently view the background of Mr. Sutton on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 2209120 in the field labeled "Individual CRD Number".

Item 4 - Other Business Activities

Mr. Sutton is a registered representative of World Equity Group, Inc. ("WEG"). WEG is a registered broker-dealer, member FINRA, SIPC (CRD No. 29087). In order to minimize any potential conflict of interest, we will not receive, directly or indirectly, any compensation for securities transactions in any client account executed through WEG, other than the advisory fees noted above in Item 5 Fees and Compensation in Form ADV Part 2A.

Item 5 - Additional Compensation

Mr. Sutton does not have any additional compensation.

Item 6 - Supervision

Northview has a continuing responsibility to adhere to the Advisors Act, including the supervision of, and the responsibility for, portfolio managers and anyone acting on behalf of Northview to read, understand and attest to comply with the policies and procedures located within Northview's Compliance Policies and Procedures Manual.

Mr. Sutton is supervised by Mr. Richard B. Williams. Mr. Williams can be reached at 512-381-6901.

Item 7 - Requirements for State Registered Advisers

Mr. Sutton does not have any additional information to disclose.

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Form ADV Part 2B Brochure Supplement March 26, 2019

Jeffrey L. Skarren Managing Director, Investment Accounting and Operations

This Brochure Supplement provides information about Jeffrey L. Skarren (CRD# 2389283) that supplements the Northview Asset Management, LLC Brochure. You should have received a copy of that Brochure. Please contact our Chief Compliance Officer at 855-373-2900 or rwilliams@northviewam.com if you did not receive Northview's Brochure or if you have any questions about the contents of this supplement.

Item 2 - Educational Background and Business Experience

Mr. Skarren, born in 1972, attended University of Phoenix. Mr. Skarren's employment history is listed below.

Northview Asset Management, LLC	02/2007 to Present
World Equity Group, Inc., Registered Representative	03/2014 to Present
International Assets Advisory, LLC, Registered Representative	04/2012 to 02/2014
Woodstock Financial Group Inc., Registered Representative	08/2010 to 03/2012
APS Financial Corporation, Registered Representative	02/2007 to 07/2010
Unemployed	09/2006 to 01/2007
Tejas Securities Group, Inc., Registered Representative	02/2003 to 08/2006

Mr. Skarren does not have any disciplinary information to report.

However, we do encourage you to independently view the background of Mr. Skarren on the Investment Adviser Public Disclosure website at www.adviserinfo.sec.gov. Select Investment Adviser Search from the left navigation menu. Then select the option for Investment Adviser Representative and enter 2389283 in the field labeled "Individual CRD Number".

Item 4 - Other Business Activities

Mr. Skarren is a registered representative of World Equity Group, Inc. ("WEG"). WEG is a registered broker-dealer, member FINRA, SIPC (CRD No. 29087). In order to minimize any potential conflict of interest, we will not receive, directly or indirectly, any compensation for securities transactions in any client account executed through WEG, other than the advisory fees noted above in Item 5 Fees and Compensation in Form ADV Part 2A.

Item 5 - Additional Compensation

Mr. Skarren does not have any additional compensation.

Item 6 - Supervision

Northview has a continuing responsibility to adhere to the Advisors Act, including the supervision of, and the responsibility for, portfolio managers and anyone acting on behalf of Northview to read, understand and attest to comply with the policies and procedures located within Northview's Compliance Policies and Procedures Manual.

Mr. Skarren is supervised by Mr. Richard B. Williams. Mr. Williams can be reached at 512-381-6901.

Item 7 - Requirements for State Registered Advisers

Mr. Skarren does not have any additional information to disclose.

Summary of Professional Designations

AIFA® - Accredited Investment Fiduciary Analyst

The AIFA® designation is issued by the Center for Fiduciary Studies. In order to earn and maintain the AIFA® designation, candidates must score 6 or more points on the following table and meet other prerequisite requirements for the designation.

Education:

Bachelors (1 point)

Master's (2 points)

Doctorate/JD (3 points)

Professional Development:

Tier 1 Securities license Series 7, Series 63 and/or Series 66 (1-point max)

Tier 2 Credentials of AAMS, CRPS, PPC or comparable (1-point each)

Tier 3 Credentials of CFA, CFP®, CIMA®, CPA, CLU, ChFC (2-points each)

Relevant Industry Experience:

Less than 2 years (0 points)

2-4 years (1 point)

5-7 years (2 points)

8-10 years (3 points)

11-13 years (4 points)

14 or more years (5 points)

Auditing Experience:

Includes financial audits, fiduciary assessments, IOS audits or comparable auditing experience for 2 or more years (2 points)

Examination:

Complete the AFIA® certification examination

Continuing Education:

Annually complete 10 hours of continuing education